



OCTOBER 13-16

31ST ANNUAL RMA CONFERENCE

ON SECURITIES LENDING



ATTENDEE REGISTRATION FORM

Conference Location: The Ritz-Carlton Naples, 280 Vanderbilt Beach Rd, Naples, FL 34108

Reservations: Send an email to Amanda.Mazieka@ritzcarlton.com to reserve a room at The Ritz-Carlton Naples.

Registration Fee: Conference attendee rate includes tutorial, business sessions, receptions and breakfasts.

\$1800 after September 5, 2014

Yes, I will be accompanied by spouse/guest. First name _____ Last name _____

\$250 Guest (Guest registration fee Includes ALL receptions and breakfast Wednesday and Thursday)

A Guest is defined as a spouse, significant other or friend of a registered attendee who is NOT in an industry-related position.

Pre-Conference Events: Tuesday, October 14th (Please check one) SPACE IS LIMITED PRE REGISTRATION REQUIRED

- CCP Workshop: The Future of Securities Finance Transactions (1:00– 2:30 p.m.) Yes, I will attend No, I will not attend
- RMA and SIFMA Update (3:00– 3:30 p.m.) Yes, I will attend No, I will not attend
- Securities Lending and Borrowing Legal & Regulatory Round Table (3:30 – 5:00 p.m.) Yes, I will attend No, I will not attend

Sponsored Events:

Wednesday, October 15 - Compliments of First Clearing LLC/ Wells Fargo Financial Advisors

Tennis Tournament (1:30 p.m.– 4:30 p.m.) Yes, I will attend No, I will not attend

Thursday, October 16 – Compliments of Bank of America Merrill Lynch

Golf Tournament (1:00 p.m.– 5:00 p.m.) Yes, I will attend No, I will not attend

Please complete the following information or attach your business card. The information will be printed in the conference booklet & name badge.

Mr. Mrs. Ms.

First Name: _____ MI: _____ Last Name: _____

Business Title: _____ Nickname for Badge: _____

Institution: _____ Address: _____

Suite/Floor: _____ City/State: _____

Country and Postal Code: _____ E-mail Address: _____

Phone Number: _____ Fax Number: _____

PLEASE MAIL, EMAIL, OR FAX THIS REGISTRATION FORM

To: Rosemarie Casler Email: RCasler@rmahq.org Fax: 215-446-4100 Tel: 215-446-4081

Mail checks to: RMA, Attn: Rosemarie Casler, 1801 Market Street, Suite 300, Philadelphia, PA 19103

Cancellation Policy: Full refunds will be available on all cancellations e-mailed or faxed (see above) 15 or more business days prior to the start of the event. Registrations cancelled 6-14 business days prior to the event are subject to a service fee equal to 50% of the registration fee. Registrants who cancel registrations 5 or fewer business days prior to the event will forfeit the entire fee. Registrants failing to attend the event will not be eligible for refunds. Substitutions from the same company/bank may be made at any time. **Substitutions must be approved by the RMA staff person responsible for this event.** In the event the conference is canceled by RMA, registrants will receive a full refund for registration fees. However, RMA does not assume responsibility for any other expenses, including the purchase of airline tickets, incurred by the registrant in connection with attending the event. RMA is a not-for-profit organization and, as such, endeavors to keep conference registration fees as low as possible; a portion of this fee is used to help defray certain securities lending legal and regulatory projects.

Payment Method:

Credit Card—all credit card transactions are processed in U.S. dollars and are subject to the current exchange rates.

VISA MasterCard AMEX Discover

Card Number: _____ Expiration Date: _____

Cardholder's Name: _____ Signature: _____

I understand my signature authorizes The Risk Management Association (RMA) to charge my credit card for this purchase.